



Security Providers Act 1993

Security Providers Regulation 2008

Current as at 1 July 2015

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Queensland

Security Providers Regulation 2008

Contents

		Page
Part 1	Preliminary	
1	Short title	5
2	Commencement	5
3	Definitions	5
Part 2	Licences	
4	Evidence of identity to accompany particular applications	5
5	Evidence of identity to accompany application for security firm licence	6
6	Photographs and evidence of satisfactory completion of approved course to accompany particular applications	6
7	Evidence verifying application statements	7
8	What must accompany application for temporary permit	7
9	Security firm—licence in more than 1 name	8
10	Offence to carry on business in another name	8
11	Licence to contain licensee's photograph	8
12	Change to information about licensee	9
Part 2A	Security industry associations	
12A	Approval of security industry association—Act, s 25B(a)	11
12B	Withdrawal of security industry association's approval—Act, s 25B(b)	11
Part 3	Registers	
Division 1	Register to be kept by chief executive	
13	Register of security providers	11
14	Change in particulars	12
15	Chief executive's certificate about contents of register	13
Division 2	Registers to be kept by others	
Subdivision 1	Registers to be kept by liquor licensees	
16	Application of sdiv 1	13
17	Definitions for sdiv 1	13

Contents

18	Liquor licensee to keep register of crowd controllers	13
19	Register of crowd controllers—other matters	16
Subdivision 2	Registers to be kept by security firms	
20	Security firm to keep register of security providers	16
21	Security firm to keep register of crowd controllers	17
22	Register of crowd controllers—other matters	19
Part 4	Security firm supplying security firm services of restricted licensee	
23	Security firm’s duties about functions and supervision of restricted licensee	20
Part 5	Miscellaneous	
24	Security provider not to wear or display chequerboard hat	20
25	Crowd controller’s identification—Act, s 47	21
25A	Exemption for particular interstate security firm from holding licence—Act, s 54(2)(a).	21
25B	Exemption for particular security officer from holding licence—Act, s 54(2)(a).	22
26	Exemptions from holding licence—Act, s 54(2)(b)	23
27	Fees	23
28	Partial refund of fees	23
Part 6	Repeal and transitional provisions	
29	Repeal	23
30	Application for licence or renewal before commencement.	23
Schedule 1A	Requirements for approval of security industry associations	24
Part 1	Preliminary	
1	Definitions for sch 1A	24
Part 2	General requirements for approval	
2	Approval application to be in writing.	25
3	Incorporation	26
4	Annual election of office holders	26
5	Financial viability	26
6	Services to members	28
7	Promotion of ethics and conduct	28
8	Code of conduct	28
9	Complaint management policy	29

Part 3	Additional requirements for approved security industry associations	
Division 1	Compliance audits	
10	Compliance with code of conduct	30
11	Requirements for conducting compliance audit	31
Division 2	Information to be given to chief executive	
12	Approved security industry association to give chief executive particular information	32
13	Documents about relevant members	34
Schedule 1	Fees	36
Schedule 2	Dictionary	41
1	Index to endnotes	43
2	Key	43
3	Table of reprints	44
4	List of legislation	45
5	List of annotations	47

Security Providers Regulation 2008

[as amended by all amendments that commenced on or before 1 July 2015]

Part 1 Preliminary

1 Short title

This regulation may be cited as the *Security Providers Regulation 2008*.

2 Commencement

This regulation commences on 1 July 2008.

3 Definitions

The dictionary in schedule 2 defines particular words used in this regulation.

Part 2 Licences

4 Evidence of identity to accompany particular applications

(1) This section applies to an application for a licence for carrying out the functions of 1 or more of the following—

- (a) a bodyguard;
- (b) a crowd controller;
- (c) a private investigator;
- (d) a security adviser;
- (e) a security equipment installer;

[s 5]

- (f) a security officer.
- (2) The application must be accompanied by evidence of the applicant's identity that is satisfactory to the chief executive.

Example—

The chief executive may adopt a system under which—

- (a) points are assigned to the applicant for producing particular evidence of identity; and
- (b) the applicant is required to achieve a total number of points stated by the chief executive.

5 Evidence of identity to accompany application for security firm licence

- (1) An application by an individual for a security firm licence must be accompanied by evidence of the individual's identity that is satisfactory to the chief executive.
- (2) An application by a corporation for a security firm licence must be accompanied by evidence of the identity of each officer of the corporation that is satisfactory to the chief executive.
- (3) An application by a partnership for a security firm licence must be accompanied by evidence of the identity of each partner in the partnership that is satisfactory to the chief executive.

6 Photographs and evidence of satisfactory completion of approved course to accompany particular applications

- (1) This section applies to an application for a licence, or renewal of a licence, for carrying out the functions of 1 or more of the following—
 - (a) a bodyguard;
 - (b) a crowd controller;
 - (c) a private investigator;
 - (d) a security adviser;

- (e) a security equipment installer;
 - (f) a security officer.
- (2) The application must be accompanied by—
- (a) if the applicant has not, in the last 2 years, given the chief executive 2 recent passport-size photographs certified to be photographs of the applicant by a person who has known the applicant for at least 1 year—2 recent passport-size photographs certified to be photographs of the applicant by a person who has known the applicant for at least 1 year; and
 - (b) if the application is for a class 1 unrestricted licence—evidence satisfactory to the chief executive of the applicant’s successful completion of an approved training course for carrying out the functions of each type of security provider for which the licence is sought.

7 Evidence verifying application statements

The chief executive may require an applicant for a licence, or renewal of a licence, to produce evidence satisfactory to the chief executive to verify a statement made in the application, including—

- (a) if the applicant is an individual—the individual’s full name, date of birth and place of birth; and
- (b) if the applicant is a corporation—the full name, date of birth and place of birth of each officer of the corporation; and
- (c) if the applicant is a partnership—the full name, date of birth and place of birth of each partner in the partnership.

8 What must accompany application for temporary permit

An application for a temporary permit under section 31C of the Act must—

- (a) be accompanied by—

[s 9]

- (i) the corresponding authority held by the applicant that is relied on for the application; and
 - (ii) documentary evidence, satisfactory to the chief executive, of the applicant's engagement to carry out functions authorised under the corresponding authority at the event for which the permit is sought; and
- (b) state the period during which the applicant intends that the authorised functions will be carried out at the event.

9 Security firm—licence in more than 1 name

If an applicant for a security firm licence proposes to carry on business under more than 1 name, the chief executive must state on the licence the registered or held business names under which the applicant may carry on business.

10 Offence to carry on business in another name

- (1) An entity must not carry on the business of a security firm under a name other than a name stated on the security firm licence granted to the entity.

Maximum penalty—20 penalty units.

- (2) In this section—
entity includes a partnership.

11 Licence to contain licensee's photograph

- (1) This section applies to a licence for carrying out the functions of 1 or more of the following—

- (a) a bodyguard;
- (b) a crowd controller;
- (c) a private investigator;
- (d) a security adviser;

- (e) a security equipment installer;
 - (f) a security officer.
- (2) The licence must contain a photograph of the licensee.

12 Change to information about licensee

- (1) A licensee must give the chief executive written notice of any change in the licensee's particulars within 7 days after the change.

Maximum penalty—10 penalty units.

- (2) In this section—

particulars means—

- (a) for an individual—
 - (i) the individual's name; or
 - (ii) if the individual is carrying on the business of a security firm—the name under which the individual carries on business; or
 - (iii) the individual's postal address; or
 - (iv) the individual's residential address; or
 - (v) if the individual is carrying on the business of a security firm—each place of business of the individual; or
 - (vi) a charge against, or conviction of, the individual for a disqualifying offence; or
 - (vii) if the individual is carrying on the business of a security firm—when the individual's current membership of an approved security industry association ends; or
- (b) for a corporation—
 - (i) the name of the corporation; or
 - (ii) the name under which the corporation carries on business; or

[s 12]

- (iii) the postal address of the corporation; or
 - (iv) each place of business of the corporation; or
 - (v) the composition of the officers of the corporation;
or
 - (vi) a charge against, or conviction of, an officer of the corporation for a disqualifying offence; or
 - (vii) a charge against, or conviction of, a corporation for a disqualifying offence; or
 - (viii) when the corporation's current membership of an approved security industry association ends; or
- (c) for a partnership—
- (i) the name of the partnership; or
 - (ii) the name under which the partnership carries on business; or
 - (iii) the postal address of the partnership; or
 - (iv) each place of business of the partnership; or
 - (v) the composition of the partners in the partnership;
or
 - (vi) a charge against, or conviction of, a partner in the partnership for a disqualifying offence; or
 - (vii) when the partnership's current membership of an approved security industry association ends.

Examples of when membership of approved security industry association ends for subsection (2)(a)(vii), (b)(viii) and (c)(vii)—

membership lapses or is suspended, terminated or cancelled

Part 2A Security industry associations

12A Approval of security industry association—Act, s 25B(a)

The chief executive may approve a security industry association for part 2 of the Act if the association complies with all of the requirements stated in schedule 1A, part 2.

12B Withdrawal of security industry association's approval—Act, s 25B(b)

The chief executive may withdraw a security industry association's approval if the association no longer complies with all of the requirements stated in schedule 1A, parts 2 and 3.

Part 3 Registers

Division 1 Register to be kept by chief executive

13 Register of security providers

- (1) The chief executive must keep a register of security providers.
- (2) The chief executive must note in the register—
 - (a) the name of each person who holds a licence for carrying out the functions of 1 or more of the following—
 - (i) a bodyguard;
 - (ii) a crowd controller;
 - (iii) a private investigator;
 - (iv) a security adviser;
 - (v) a security equipment installer;

[s 14]

- (vi) a security officer; and
 - (b) the name, registered or held business name, if any, and place of business of each person or partnership that holds a security firm licence; and
 - (c) any other information the chief executive considers necessary or desirable for the effective administration of the Act.
- (3) The register is to be kept in the form and in the way decided by the chief executive.
- (4) A person may inspect the register—
- (a) at any office of the department when it is open to the public; or
 - (b) at any other place or in any other way decided by the chief executive.
- (5) A person may obtain a copy of an entry in the register from the chief executive—
- (a) at any office of the department when it is open to the public; or
 - (b) at any other place or in any other way decided by the chief executive.
- (6) The chief executive must notify each decision made under subsection (4)(b) or (5)(b) on the department's website.

Editor's note—

At the commencement of this subsection, the address of the department's website was <www.justice.qld.gov.au>.

14 Change in particulars

The chief executive must note the following in the register of security providers—

- (a) that a particular licence has expired or has been suspended or cancelled;
- (b) that the chief executive has refused to renew or replace a particular licence;

- (c) any change in a licensee's particulars.

15 Chief executive's certificate about contents of register

A certificate, purporting to be signed by the chief executive, about the contents of a register kept by the chief executive, is evidence of the matters stated in the certificate.

Division 2 Registers to be kept by others

Subdivision 1 Registers to be kept by liquor licensees

16 Application of sdiv 1

This subdivision applies if—

- (a) a liquor licensee engages a person to carry out the functions of a crowd controller for reward at a public place to which a liquor licence relates; and
- (b) the liquor licence is not in relation to an event or occasion.

17 Definitions for sdiv 1

In this subdivision—

liquor licence means a licence under the *Liquor Act 1992*.

liquor licensee means a person who holds a licence under the *Liquor Act 1992*.

18 Liquor licensee to keep register of crowd controllers

- (1) The liquor licensee must keep a register of persons engaged by the liquor licensee to carry out the functions of a crowd controller for reward at the public place.

[s 18]

Maximum penalty—20 penalty units.

- (2) The register must be kept in a secure place at the public place.
- (3) The register must be written in ink and be easily legible.

Note—

Under the *Acts Interpretation Act 1954*, schedule 1, writing includes any mode of representing or reproducing words in a visible form.

- (4) The register must state the following in relation to each crowd controller—

- (a) the crowd controller's full name and licence number;

Note—

Under the *Acts Interpretation Act 1954*, section 36, writing includes any mode of representing or reproducing words in a visible form.

- (b) if the services of the crowd controller are supplied by a security firm—the security firm's name and address;
- (c) the number of the identification that must be worn by the crowd controller under section 25;
- (d) the date and time when the crowd controller starts each period of duty at the public place;
- (e) the date and time when the crowd controller finishes each period of duty at the public place;
- (f) if the crowd controller is a restricted licensee and is to carry out the functions of a crowd controller under appropriate direct supervision—the name of the crowd controller who—
 - (i) holds an unrestricted licence for carrying out crowd controller functions; and
 - (ii) is to directly supervise the crowd controller for the purpose of giving the appropriate direct supervision;
- (g) the information mentioned in subsection (5) about each incident at the public place—

-
- (i) involving the crowd controller and in which a person is injured; or
 - (ii) requiring a person to be removed from the public place by the crowd controller.
 - (5) For subsection (4)(g) the information is as follows—
 - (a) the date and time the incident happened;
 - (b) a description of the location at the public place where the incident happened;
 - (c) a description of each person involved in the incident and, if known, the person's name;
 - (d) details of the incident, including, for example, information about whether a person was removed from the premises because of the incident;
 - (e) details of injuries suffered by persons involved in the incident;
 - (f) details of action taken by the crowd controller or member of staff of the public place in response to the incident.
 - (6) The liquor licensee must ensure—
 - (a) the particulars mentioned in subsection (4)(a), (b), (c), (d) and (f) are noted in the register, and the register is signed by the crowd controller, before the crowd controller starts each period of duty; and
 - (b) the particulars mentioned in subsection (4)(e) are noted in the register, and the register is signed by the crowd controller, immediately after the crowd controller finishes the period of duty; and
 - (c) the information mentioned in subsection (4)(g) is noted in the register as soon as practicable after the incident.
- Maximum penalty—20 penalty units.
- (7) If the register consists of more than 1 page, it must be—
 - (a) firmly bound along its spine; and

[s 19]

(b) sequentially numbered.

19 Register of crowd controllers—other matters

(1) The liquor licensee must allow the register kept by the liquor licensee under section 18 to be inspected by the chief executive.

Maximum penalty—20 penalty units.

(2) The liquor licensee must not—

- (a) remove, or allow a person to remove, a page from the register; or
- (b) erase or obliterate an entry in the register; or
- (c) allow a person to erase or obliterate an entry in the register.

Maximum penalty—20 penalty units.

(3) The liquor licensee must keep the register for at least 7 years after the last entry was made in the register.

Maximum penalty—20 penalty units.

Subdivision 2 Registers to be kept by security firms

20 Security firm to keep register of security providers

(1) A security firm must keep a register of security providers engaged by the security firm.

Maximum penalty—20 penalty units.

(2) The register must state—

- (a) the name of each security provider; and
- (b) the licence number of each security provider; and
- (c) the expiry date of each security provider's licence; and
- (d) the date of commencement of each engagement.

-
- (3) If the engagement of a security provider ends, the register must also state the date the engagement ended.
 - (4) The security firm must allow the register to be inspected by the chief executive.
Maximum penalty—20 penalty units.
 - (5) The security firm must keep the register for at least 7 years after the last entry was made in the register.
Maximum penalty—20 penalty units.

21 Security firm to keep register of crowd controllers

- (1) This section applies if—
 - (a) a security firm supplies crowd controller services at a particular public place; and
 - (b) a liquor licensee is not required to keep a register of crowd controllers for the place under subdivision 1.
- (2) The security firm must keep a register of crowd controllers whose crowd controller services are supplied by the security firm at the public place.
Maximum penalty—20 penalty units.
- (3) The register must be kept—
 - (a) while the services are being supplied—in a secure place at the public place; or
 - (b) otherwise—in a secure place at a place of business of the security firm.
- (4) The register must be written in ink and be easily legible.

Note—

Under the *Acts Interpretation Act 1954*, schedule 1, writing includes any mode of representing or reproducing words in a visible form.

- (5) The register must state the following in relation to each crowd controller—
 - (a) the crowd controller's full name and licence number;

[s 21]

Note—

Under the *Acts Interpretation Act 1954*, section 36, writing includes any mode of representing or reproducing words in a visible form.

- (b) the number of the identification that must be worn by the crowd controller under section 25;
 - (c) the date and time when the crowd controller starts each period of duty at the public place;
 - (d) the date and time when the crowd controller finishes each period of duty at the public place;
 - (e) if the crowd controller is a restricted licensee and is to carry out the functions of a crowd controller under appropriate direct supervision—the name of the person who—
 - (i) holds an unrestricted licence for carrying out crowd controller functions; and
 - (ii) is to directly supervise the crowd controller for the purpose of giving the appropriate direct supervision;
 - (f) details of each incident at the public place—
 - (i) involving the crowd controller and in which a person is injured; or
 - (ii) requiring a person to be removed from the public place by the crowd controller.
- (6) The security firm must ensure—
- (a) the particulars mentioned in subsection (5)(a), (b), (c) and (e) are noted in the register, and the register is signed by the crowd controller, before the crowd controller starts each period of duty; and
 - (b) the particulars mentioned in subsection (5)(d) are noted in the register, and the register is signed by the crowd controller, immediately after the crowd controller finishes the period of duty; and

- (c) the details mentioned in subsection (5)(f) are noted in the register as soon as practicable after the incident.

Maximum penalty—20 penalty units.

- (7) If the register consists of more than 1 page, the register must be—
 - (a) firmly bound along its spine; and
 - (b) sequentially numbered.

22 Register of crowd controllers—other matters

- (1) If a security firm is required to keep a register of crowd controllers under section 21, the security firm must allow the register to be inspected by the chief executive.

Maximum penalty—20 penalty units.

- (2) The security firm must not—
 - (a) remove, or allow a person to remove, a page from the register; or
 - (b) erase or obliterate an entry in the register; or
 - (c) allow a person to erase or obliterate an entry in the register.

Maximum penalty—20 penalty units.

- (3) The security firm must keep the register for at least 7 years after the last entry was made in the register.

Maximum penalty—20 penalty units.

[s 23]

Part 4 Security firm supplying security firm services of restricted licensee

23 Security firm’s duties about functions and supervision of restricted licensee

- (1) This section applies if a security firm supplies security firm services of a restricted licensee for reward.
- (2) The security firm must ensure that, while the security firm services are being supplied, the restricted licensee carries out only the functions of a type of security provider stated in the licence.

Maximum penalty—20 penalty units.

- (3) The security firm, in supplying the security firm services, must ensure that the restricted licensee carries out the functions of a type of security provider stated in the licence under appropriate direct supervision.

Maximum penalty—20 penalty units.

Part 5 Miscellaneous

24 Security provider not to wear or display chequerboard hat

- (1) A security provider, in carrying out the security provider’s functions, must not without reasonable excuse—
 - (a) wear a chequerboard hat; or
 - (b) display, or permit to be displayed, a chequerboard hat.

Example of displaying a hat—

holding a hat in view in the security provider’s hands

Maximum penalty—20 penalty units.

- (2) In this section—

chequerboard hat means a hat displaying a chequerboard design.

Example—

a hat that has a chequerboard hatband

25 Crowd controller's identification—Act, s 47

- (1) The prescribed identification for section 47 of the Act is identification that complies with subsections (2) to (5).
- (2) The identification must be worn on a licensed crowd controller's clothing at the chest.
- (3) The identification must consist of—
 - (a) a number at least 3cm in height and 4mm in thickness; and
 - (b) the word 'security' in capital letters at least 1cm in height and 2mm in thickness.
- (4) The numbers and letters must be black on a white background.
- (5) Each crowd controller at a public place must wear a different number.

25A Exemption for particular interstate security firm from holding licence—Act, s 54(2)(a)

- (1) This section applies to a security firm that—
 - (a) engages in the business of supplying monitoring services—
 - (i) to a person's property in Queensland; and
 - (ii) from a place located in another State; and
 - (b) holds a relevant corresponding authority; and
 - (c) does not supply any other security firm services in Queensland.

[s 25B]

(2) Despite section 9 of the Act, the firm need not hold the appropriate licence for supplying monitoring services.

(3) In this section—

monitoring services means the security firm services of a security officer carrying out the activities mentioned in section 7(1)(b) of the Act.

relevant corresponding authority means an authority, however described, issued under the law of another State that allows the holder of the authority to supply or carry out monitoring services in the other State.

25B Exemption for particular security officer from holding licence—Act, s 54(2)(a)

(1) This section applies to a security officer who—

(a) carries out monitoring activities—

(i) for a person's property in Queensland; and

(ii) from a place located in another State; and

(b) holds a relevant corresponding authority; and

(c) does not carry out any other functions of a security officer in Queensland.

(2) Despite section 9 of the Act, the security officer need not hold the appropriate licence for carrying out monitoring activities.

(3) In this section—

monitoring activities means the activities mentioned in section 7(1)(b) of the Act.

relevant corresponding authority means an authority, however described, issued under the law of another State that allows the holder of the authority to carry out monitoring activities in the other State.

26 Exemptions from holding licence—Act, s 54(2)(b)

The chief executive may approve that a crowd controller or security officer need not hold the appropriate licence for a specified activity, event or place, despite section 9 of the Act.

27 Fees

The fees payable under the Act are stated in schedule 1.

28 Partial refund of fees

The chief executive must refund a reasonable amount of a fee paid on an application for the grant or renewal of a licence if—

- (a) the chief executive refuses to grant or renew the licence; or
- (b) the applicant withdraws the application before the licence is granted or renewed.

Part 6 Repeal and transitional provisions

29 Repeal

The Security Providers Regulation 1995 SL No. 25 is repealed.

30 Application for licence or renewal before commencement

- (1) This section applies to an application for a licence, or renewal of a licence, made but not decided before the commencement of this section.
- (2) The repealed *Security Providers Regulation 1995* applies in relation to the application.

Schedule 1A Requirements for approval of security industry associations

sections 12A and 12B

Part 1 Preliminary

1 Definitions for sch 1A

In this schedule—

accountant means—

- (a) a member of CPA Australia who is entitled to use the letters ‘CPA’ or ‘FCPA’; or
- (b) a member of The Institute of Chartered Accountants in Australia who is entitled to use the letters ‘CA’ or ‘FCA’; or
- (c) a member of the Institute of Public Accountants who is entitled to use the words ‘MIPA’ or ‘FIPA’.

association means—

- (a) for part 2—
 - (i) an association seeking to become an approved security industry association; or
 - (ii) an approved security industry association; or
- (b) for part 3—an approved security industry association.

complaint management policy, for an association, means a written policy outlining the principles and procedures the association uses to deal with and resolve complaints the association receives about its members.

corresponding law means a law applying, or that applied, in another State that provides, or provided, for the same, or substantially the same, matter as the *Associations Incorporation Act 1981* or a provision of that Act.

declaration of financial viability, for an association, means—

- (a) if the association has a management committee—a declaration made by the management committee that, in the committee members' opinion, there are reasonable grounds to believe that the association is financially viable; or
- (b) if the association has a board of directors—a declaration made by the directors that, in the directors' opinion, there are reasonable grounds to believe that the association is financially viable.

financially viable, for an association, means the association is able to pay its debts as and when they become due and payable.

management committee means—

- (a) for an association incorporated under the *Associations Incorporation Act 1981*—the association's management committee formed under that Act; or
- (b) for an association incorporated under a corresponding law—the expression in the corresponding law that has the same, or substantially the same, meaning as the expression 'management committee' under the *Associations Incorporation Act 1981*.

relevant member, of an association, means a member of the association holding a security firm licence.

Part 2 **General requirements for approval**

2 **Approval application to be in writing**

An association seeking to become an approved security industry association must apply in writing to the chief executive for approval under the Act, section 25B(a).

3 Incorporation

The association must be incorporated.

4 Annual election of office holders

- (1) The association must hold an election for members of the association to elect its office holders.
- (2) An election of office holders must be held at least once every 2 years.
- (3) The association's management committee or board of directors must include at least 1 individual who is—
 - (a) a relevant member of the association; or
 - (b) nominated for the purpose by a corporation or partnership that is a relevant member of the association.
- (4) In this section—

office holder means—

- (a) for an association incorporated under the *Associations Incorporation Act 1981* or a corresponding law—a member of the association's management committee; or
- (b) for an association incorporated under the Corporations Act—a director of the corporation.

5 Financial viability

- (1) The association must be financially viable.
- (2) An association seeking to become an approved security industry association must give the chief executive all of the following—
 - (a) if the association has been incorporated for less than 3 years—
 - (i) a financial statement for each year that it has been incorporated; and

- (ii) for each financial statement—an accountant’s written report about the accountant’s audit of the statement;
 - (b) if the association has been incorporated for 3 or more years—
 - (i) financial statements for the last 3 years that it has been incorporated; and
 - (ii) for each financial statement—an accountant’s written report about the accountant’s audit of the statement;
 - (c) a written business plan that—
 - (i) describes how the association is to be funded; and
 - (ii) includes details of the services the association provides to its members; and
 - (iii) adopts a whole of business approach to planning, including, for example, components dealing with marketing and financial and risk management;
 - (d) a declaration of financial viability;
 - (e) a document signed and dated by an accountant stating—
 - (i) the accountant’s name and business address; and
 - (ii) the accountant agrees with the declaration of financial viability for the association based on an examination of the financial statements, written reports about the accountant’s audit of each financial statement and business plan for the association.
- (3) A declaration of financial viability must—
 - (a) if—
 - (i) the association has a management committee—be made under a decision of the management committee; or
 - (ii) the association has a board of directors—be made under a resolution of the directors; and

- (b) state the date when the declaration is made; and
- (c) be signed—
 - (i) if the association has a management committee—by a member of the committee; or
 - (ii) if the association has a board of directors—by a director.

6 Services to members

The association must provide genuine and accessible services relevant to its members including, for example—

- (a) programs for the education and training of the association's members to develop skills and expertise relevant to the security industry; and
- (b) information or advice about statutory obligations applying to the security industry.

7 Promotion of ethics and conduct

The association must demonstrate that it promotes a high standard of ethics and conduct for the security industry.

Example—

promoting a high standard of ethics and conduct in the association's rules, information services or promotional material

8 Code of conduct

- (1) The association must have a code of conduct requiring its members to adopt best practice industry standards.
- (2) The association must be able, under the code of conduct, to deal with a breach of the association's code of conduct by—
 - (a) asking a member to take remedial action for the breach; or
 - (b) taking disciplinary action against a member for the breach.

- (3) A code of conduct must include a requirement that the association give the member a written notice about an alleged breach of the code of conduct by the member.
- (4) The written notice must include—
 - (a) a statement that if the association is satisfied that a member has breached the association's code of conduct the association may take action against the member within the period stated in the notice; and
 - (b) a show cause process.
- (5) A code of conduct must be approved by the chief executive.
- (6) In this section—

remedial action, for a breach of an association's code of conduct, means action to ensure a member's compliance with the association's code of conduct.

9 Complaint management policy

- (1) The association must—
 - (a) keep and put into effect a complaint management policy; and
 - (b) inform its members about the policy and how it may be accessed; and
 - (c) make the policy available to—
 - (i) its members; and
 - (ii) the public.
- (2) A complaint management policy must state that an association must—
 - (a) deal with complaints about a member promptly and fairly; and
 - (b) make every reasonable effort to resolve a complaint about a member.
- (3) A complaint management policy must be approved by the chief executive.

Part 3 **Additional requirements for approved security industry associations**

Division 1 **Compliance audits**

10 **Compliance with code of conduct**

- (1) The association must be able to conduct an audit (a *compliance audit*) of a relevant member's compliance with the association's code of conduct.
- (2) The association must conduct a compliance audit of each relevant member of the association—
 - (a) initially, within 3 years of the member becoming a member of the association; and
 - (b) afterwards, at least once every 3 years from the date of the audit conducted under paragraph (a).
- (3) However, subsection (4) applies if a relevant member of an approved security industry association (the *current association*)—
 - (a) is a new member of the current association; and
 - (b) before becoming a member of the current association, was a member of another approved security industry association (the *former association*).
- (4) The current association must conduct a compliance audit of the relevant member—
 - (a) within 3 years of the last satisfactory compliance audit of the member conducted by the former association; or
 - (b) if the member can not show when the last satisfactory compliance audit of the member was conducted by the former association—within 12 months of the member becoming a member of the current association.

- (5) Within 2 years of an association becoming an approved security industry association, the association must conduct compliance audits of at least half of its relevant members.
- (6) In this section—
- satisfactory*, for a compliance audit of a relevant member of an approved security industry association, means a written report that—
- (a) complies with section 11; and
 - (b) states whether the auditor who conducted the audit is of the opinion that the member complies with the association's code of conduct.

11 Requirements for conducting compliance audit

- (1) An association must ensure a compliance audit is conducted in accordance with all of the following requirements—
- (a) an auditor must conduct the audit;
 - (b) the auditor must be an accountant;
 - (c) the auditor must clearly define the scope and objectives of each audit before the auditor begins the audit;
 - (d) the auditor must conduct the audit in compliance with the auditing and assurance standards made by the Auditing and Assurance Standards Board;
 - (e) the auditor must assess a relevant member's compliance with the provisions of the association's code of conduct that are relevant to the functions carried out by the member as a security provider;
 - (f) the auditor must use a process that enables the auditor to obtain and evaluate evidence for the audit by applying audit procedures to a selection of particular data or information that the association reasonably considers appropriate to a relevant member's circumstances, including those of a relevant member the association knows or suspects to have breached the association's code of conduct;

- (g) the auditor must give the association a written report about the audit.
- (2) The report must include a document signed and dated by the auditor stating—
 - (a) the auditor’s name and business address; and
 - (b) whether the auditor is of the opinion that the relevant member is complying with the association’s code of conduct.
- (3) An auditor may conduct a compliance audit in a way that the auditor considers appropriate, including, for example, by electronic communication.
- (4) Within 3 months of the completion of a compliance audit, the association must give the member audited a written notice about the outcome of the audit.
- (5) In this section—

Auditing and Assurance Standards Board means the Auditing and Assurance Standards Board established under the *Australian Securities and Investments Commission Act 2001* (Cwlth).

Division 2 Information to be given to chief executive

12 Approved security industry association to give chief executive particular information

- (1) This section applies if the association has been an approved security industry association for 1 year or more.
- (2) Within 6 months after the anniversary date for the association, the association must give the chief executive all of the following information—
 - (a) the association’s annual financial statement (the *annual financial statement*) prepared during the approval period for the association;

- (b) a declaration of financial viability for the association;
- (c) an accountant's written report about the accountant's audit of the statement;
- (d) a document signed and dated by the accountant stating—
 - (i) the accountant's name and business address; and
 - (ii) the accountant agrees with the declaration of financial viability for the association based on an examination of the annual financial statement, the report mentioned in paragraph (c) and the business plan mentioned in section 5(2)(c) for the association;
- (e) a statement about the compliance audits conducted by the association during the approval period including details about the following—
 - (i) the number of audits conducted by the association;
 - (ii) the percentage of the association's total membership that the number of audits conducted represents;
 - (iii) the name, business address and licence number of each relevant member audited;
 - (iv) the findings of each audit;
 - (v) the action taken by the association in relation to the findings of each audit;
- (f) a statement about the operation of the association's complaint management policy during the approval period including details about the following—
 - (i) the number of complaints received;
 - (ii) a description of the nature of each complaint;
 - (iii) the action taken by the association for each complaint;
- (g) a statement that the association, during the approval period, has reviewed the association's code of conduct and complaint management policy to ensure the code of

conduct and the complaint management policy have regard to best practice industry standards for the types of services provided by the association's members;

- (h) if, within the approval period, the association has changed the association's code of conduct or complaint management policy—a copy of the new code of conduct or complaint management policy.
- (3) A declaration of financial viability must—
- (a) if—
 - (i) the association has a management committee—be made under a decision of the management committee; or
 - (ii) the association has a board of directors—be made under a resolution of the directors; and
 - (b) state the date when the declaration is made; and
 - (c) be signed—
 - (i) if the association has a management committee—by a member of the committee; or
 - (ii) if the association has a board of directors—by a director.
- (4) In this section—

anniversary date, for an association, means the day in each year that is the anniversary of the day when it became an approved security industry association.

approval period, for an association, means the period of 1 year ending on the last anniversary date for the association.

13 Documents about relevant members

- (1) The association must keep for 7 years all of the documents it receives about a relevant member of the association including, for example, the following—
 - (a) the relevant member's membership application;
 - (b) a document about a complaint about a relevant member;

- (c) a document about a relevant member's breach of the association's code of conduct;
 - (d) a report about a compliance audit of a relevant member.
- (2) The association must give the chief executive a copy of a document mentioned in subsection (1) within 14 days after the chief executive asks for the document.

Schedule 1 Fees

section 27

\$

Applications for licences

1	Application, under section 10 of the Act, for a class 1 licence for carrying out the functions of 1 type of security provider other than a security firm—	
	(a) for 1 year.	282.80
	(b) for 3 years.	559.90
2	Application, under section 10 of the Act, for a class 1 licence for carrying out the functions of more than 1 type of security provider other than a security firm—	
	(a) for 1 year.	349.20
	(b) for 3 years.	704.00
3	Application, under section 10 of the Act, for a class 1 licence that is a restricted licence for carrying out the functions of 1 type of security provider other than a security firm, for 6 months	160.80
4	Application, under section 10 of the Act, for a class 1 licence that is a restricted licence for carrying out the functions of more than 1 type of security provider other than a security firm, for 6 months	194.00
5	Application, under section 10 of the Act, for a class 1 licence that is a security firm licence—	
	(a) for 1 year.	1402.00
	(b) for 3 years.	2822.00
6	Application, under section 10 of the Act, for a class 2 licence for carrying out the functions of 1 type of security provider other than a security firm—	
	(a) for 1 year.	171.90
	(b) for 3 years.	332.70

	\$
7 Application, under section 10 of the Act, for a class 2 licence for carrying out the functions of more than 1 type of security provider other than a security firm—	
(a) for 1 year.	205.10
(b) for 3 years.	426.90
8 Application, under section 10 of the Act, for a class 2 licence that is a security firm licence—	
(a) for 1 year.	842.60
(b) for 3 years.	1690.00
9 Application, under section 10 of the Act, for a security firm licence that is a class 1 licence and class 2 licence—	
(a) for 1 year.	1792.00
(b) for 3 years.	3614.00

Renewal of licences

10 Renewal, under section 20 of the Act, of a class 1 licence for carrying out the functions of 1 type of security provider other than a security firm—	
(a) for 1 year.	138.60
(b) for 3 years.	415.70
11 Renewal, under section 20 of the Act, of a class 1 licence for carrying out the functions of more than 1 type of security provider other than a security firm—	
(a) for 1 year.	177.40
(b) for 3 years.	532.20
12 Renewal, under section 20 of the Act, of a class 1 licence that is a security firm licence—	
(a) for 1 year.	709.60
(b) for 3 years.	2129.00
13 Renewal, under section 20 of the Act, of a class 2 licence for carrying out the functions of 1 type of security provider other than a security firm—	
(a) for 1 year.	84.20
(b) for 3 years.	249.50

Schedule 1

	\$
14 Renewal, under section 20 of the Act, of a class 2 licence for carrying out the functions of more than 1 type of security provider other than a security firm—	
(a) for 1 year.	107.50
(b) for 3 years.	321.60
15 Renewal, under section 20 of the Act, of a class 2 licence that is a security firm licence—	
(a) for 1 year.	426.90
(b) for 3 years.	1275.00
16 Renewal, under section 20 of the Act, of a security firm licence that is a class 1 licence and class 2 licence—	
(a) for 1 year.	914.70
(b) for 3 years.	2732.00

Replacement of licences

17 Replacement, under section 25 of the Act, of a class 1 licence or class 2 licence for carrying out the functions of 1 type of security provider other than a security firm.	29.90
18 Replacement, under section 25 of the Act, of a class 1 licence or class 2 licence for carrying out the functions of more than 1 type of security provider other than a security firm	42.65
19 Replacement, under section 25 of the Act, of a security firm licence	67.60

Particular amendments of licences

20 Amendment, under section 17 of the Act, of an individual's class 1 licence to authorise the carrying out of the functions of an additional type of security provider other than a security firm—for each additional type of security provider	70.90
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	§
21 Amendment, under section 17 of the Act, of an individual's class 2 licence to authorise the carrying out of the functions of an additional type of security provider other than a security firm—for each additional type of security provider	41.55
22 Amendment, under section 17 of the Act, of a security firm licence to authorise the carrying out of the functions of an additional type of security provider—for each additional type of security provider	210.70
23 Amendment, under section 17 of the Act, of an imposed condition on a licence, other than in a way mentioned in item 20, 21 or 22	73.15

Applications for temporary permits

24 Application, under section 31C of the Act, for a temporary permit for carrying out authorised functions of a bodyguard	82.10
25 Application, under section 31C of the Act, for a temporary permit for carrying out authorised functions of a crowd controller	82.10
26 Application, under section 31C of the Act, for a temporary permit for carrying out authorised functions of a security officer	82.10
27 Application, under section 31C of the Act, for a temporary permit for carrying out authorised functions of a security firm	133.10

Inspections of register and copies of entries in register

28 Inspection, under section 13(4)(a), of the register of security providers kept by the chief executive	17.15
29 Copy, under section 13(5), of an entry in the register	17.15
30 Certified copy of an entry in the register	29.90

Fingerprinting of relevant person

Schedule 1

		\$
31	Taking a relevant person's fingerprints under section 27 of the Act, unless the Commissioner already holds the relevant person's fingerprints taken under that section. . . .	100.00

Schedule 2 Dictionary

section 3

accountant, for schedule 1A, see schedule 1A, section 1.

association, for schedule 1A, see schedule 1A, section 1.

complaint management policy, for schedule 1A, see schedule 1A, section 1.

compliance audit see schedule 1A, section 10(1).

corresponding law, for schedule 1A, see schedule 1A, section 1.

declaration of financial viability, for schedule 1A, see schedule 1A, section 1.

financially viable, for schedule 1A, see schedule 1A, section 1.

liquor licence, for part 3, division 2, subdivision 1, see section 17.

liquor licensee see section 17.

management committee, for schedule 1A, see schedule 1A, section 1.

officer, of a corporation, see section 13(1) of the Act.

registered or held business name means a name—

- (a) registered on the register established and maintained under the *Business Names Registration Act 2011* (Cwlth), section 22; or
- (b) held under the *Business Names Registration Act 2011* (Cwlth), section 54; or
- (c) held under the *Business Names Registration (Transitional and Consequential Provisions) Act 2011* (Cwlth), schedule 1, item 5.

relevant member, for schedule 1A, see schedule 1A, section 1.

1 Index to endnotes

- 2 Key
- 3 Table of reprints
- 4 List of legislation
- 5 List of annotations

2 Key

Key to abbreviations in list of legislation and annotations

Key	Explanation	Key	Explanation
AIA	= Acts Interpretation Act 1954	(prev)	= previously
amd	= amended	proc	= proclamation
amdt	= amendment	prov	= provision
ch	= chapter	pt	= part
def	= definition	pubd	= published
div	= division	R[X]	= Reprint No. [X]
exp	= expires/expired	RA	= Reprints Act 1992
gaz	= gazette	reloc	= relocated
hdg	= heading	renum	= renumbered
ins	= inserted	rep	= repealed
lap	= lapsed	(retro)	= retrospectively
notfd	= notified	rv	= revised version
num	= numbered	s	= section
o in c	= order in council	sch	= schedule
om	= omitted	sdiv	= subdivision
orig	= original	SIA	= Statutory Instruments Act 1992
p	= page	SIR	= Statutory Instruments Regulation 2012
para	= paragraph	SL	= subordinate legislation
prec	= preceding	sub	= substituted
pres	= present	unnu	= unnumbered
prev	= previous	m	

3 Table of reprints

A new reprint of the legislation is prepared by the Office of the Queensland Parliamentary Counsel each time a change to the legislation takes effect.

The notes column for this reprint gives details of any discretionary editorial powers under the

Reprints Act 1992

used by the Office of the Queensland Parliamentary Counsel in preparing it. Section 5(c) and (d) of the Act are not mentioned as they contain mandatory requirements that all amendments be included and all necessary consequential amendments be incorporated, whether of punctuation, numbering or another kind. Further details of the use of any discretionary editorial power noted in the table can be obtained by contacting the Office of the Queensland Parliamentary Counsel by telephone on 3003 9601 or email legislation.queries@oqpc.qld.gov.au.

From 29 January 2013, all Queensland reprints are dated and authorised by the Parliamentary Counsel. The previous numbering system and distinctions between printed and electronic reprints is not continued with the relevant details for historical reprints included in this table.

Reprint No.	Amendments included	Effective	Notes
1	none	1 July 2008	
1A	2008 SL No. 404	5 December 2008	
1B	2009 SL No. 120	1 July 2009	
1C	2010 SL No. 128	1 July 2010	
1D	2010 SL No. 346	3 December 2010	
1E	2010 SL No. 346	24 February 2011	
1F	2011 SL No. 86 2011 SL No. 115	1 July 2011	R1F withdrawn, see R2
2	—	1 July 2011	
2A	2011 SL No. 190	30 September 2011	
2B	2011 SL No. 214	4 November 2011	
2C	2011 Act No. 34	28 May 2012	
2D	2012 SL No. 102	13 July 2012	

Current as at	Amendments included	Notes
1 July 2013	2013 SL No. 122	

Current as at	Amendments included	Notes
9 May 2014	2014 SL No. 55	
1 July 2014	2014 SL No. 128	
19 December 2014	2014 SL No. 314	
1 July 2015	2015 SL No. 53	

4 List of legislation

Regulatory impact statements

For subordinate legislation that has a regulatory impact statement, specific reference to the statement is included in this list.

Explanatory notes

All subordinate legislation made on or after 1 January 2011 has an explanatory note. For subordinate legislation made before 1 January 2011 that has an explanatory note, specific reference to the note is included in this list.

Security Providers Regulation 2008 SL No. 119

made by the Governor in Council on 8 May 2008

notfd gaz 9 May 2008 pp 277–8

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2008 (see s 2)

exp 1 September 2018 (see SIA s 54)

Notes—

- (1) The expiry date may have changed since this reprint was published. See the latest reprint of the SIR for any change.
- (2) An explanatory note was prepared.
- (3) A regulatory impact statement was prepared and applies to 2008 SL Nos. 119, 120, 121 and 122.

amending legislation—

Security Providers Amendment Regulation (No. 1) 2008 SL No. 404

notfd gaz 5 December 2008 pp 1840–3

commenced on date of notification

Fair Trading (Fees) Amendment Regulation (No. 1) 2009 SL No. 120

Endnotes

notfd gaz 26 June 2009 pp 831–7

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2009 (see s 2)

**Fair Trading and Other Legislation Amendment Regulation (No. 1) 2010 SL No. 128
pts 1, 26**

notfd gaz 18 June 2010 pp 529–35

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2010 (see s 2)

Security Providers Amendment Regulation (No. 1) 2010 SL No. 346

notfd gaz 3 December 2010 pp 1003–6

ss 1–2 commenced on date of notification

s 4 commenced 24 February 2011 (see s 2)

remaining provisions commenced on date of notification

Security Providers Amendment Regulation (No. 1) 2011 SL No. 86

notfd gaz 17 June 2011 pp 430–4

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2011 (see s 2)

Justice (Fees) Amendment Regulation (No. 1) 2011 SL No. 115

notfd gaz 1 July 2011 pp 589–96

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2011 (see s 2)

**Financial Accountability and Other Legislation Amendment Regulation (No. 1) 2011
SL No. 190 pts 1, 11**

notfd gaz 30 September 2011 pp 238–40

commenced on date of notification

Business Names (Commonwealth Powers) Act 2011 No. 34 ss 1, 2(b), 31–32 sch 1

date of assent 28 October 2011

ss 1–2 commenced on date of assent

remaining provisions commenced 28 May 2012 (2012 SL No. 58)

Security Providers Amendment Regulation (No. 2) 2011 SL No. 214

notfd gaz 4 November 2011 pp 438–9

commenced on date of notification

Justice Legislation (Fees) Amendment Regulation (No. 1) 2012 SL No. 102

notfd gaz 13 July 2012 pp 820–5

ss 1–2 commenced on date of notification

remaining provisions commenced 13 July 2012 (see s 2)

Justice Legislation (Fees) Amendment Regulation (No. 1) 2013 SL No. 122

notfd gaz 28 June 2013 pp 739–47

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2013 immediately after the Uniform Civil Procedure and Another Rule Amendment Rule (No. 1) 2013 (see s 2)

Security Providers and Another Regulation Amendment Regulation (No. 1) 2014 SL No. 55 pts 1–2

notfd <www.legislation.qld.gov.au> 9 May 2014

commenced on date of notification

Justice Legislation (Fees) Amendment and Repeal Regulation (No. 1) 2014 SL No. 128 ss 1–2(1) 3 sch

notfd <www.legislation.qld.gov.au> 27 June 2014

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2014 (see s 2(1))

Security Providers Amendment Regulation (No. 1) 2014 SL No. 314

notfd <www.legislation.qld.gov.au> 19 December 2014

commenced on date of notification

Justice Legislation (Fees) Amendment Regulation (No. 1) 2015 SL No. 53 pts 1, 47

notfd <www.legislation.qld.gov.au> 26 June 2015

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2015 (see s 2)

5 List of annotations

Security firm—licence in more than 1 name

s 9 amd 2011 Act No. 34 s 31 sch 1

Change to information about licensee

s 12 amd 2010 SL No. 346 s 4

PART 2A—SECURITY INDUSTRY ASSOCIATIONS

pt 2A (ss 12A–12B) ins 2010 SL No. 346 s 5

PART 3—REGISTERS

Register of security providers

s 13 amd 2011 SL No. 214 s 3; 2011 Act No. 34 s 31 sch 1

Liquor licensee to keep register of crowd controllers

s 18 amd 2011 SL No. 214 s 4; 2014 SL No. 55 s 3

Security firm to keep register of crowd controllers

s 21 amd 2014 SL No. 55 s 4

Exemption for particular interstate security firm from holding licence—Act, s 54(2)(a)

s 25A ins 2014 SL No. 314 s 3

Exemption for particular security officer from holding licence—Act, s 54(2)(a)

s 25B ins 2014 SL No. 314 s 3

**PART 7—AMENDMENT OF STATE PENALTIES ENFORCEMENT
REGULATION 2000**

pt 7 (ss 31–32) om R1 (see RA ss 7(1)(k) and 40)

**SCHEDULE 1A—REQUIREMENTS FOR APPROVAL OF SECURITY
INDUSTRY ASSOCIATIONS**

sch hdg ins 2010 SL No. 346 s 6

PART 1—PRELIMINARY

pt hdg ins 2010 SL No. 346 s 6

Definitions for sch 1A

s 1 ins 2010 SL No. 346 s 6

def *accountant* amd 2011 SL No. 190 s 23

PART 2—GENERAL REQUIREMENTS FOR APPROVAL

pt 2 (ss 2–9) ins 2010 SL No. 346 s 6

**PART 3—ADDITIONAL REQUIREMENTS FOR APPROVED SECURITY
INDUSTRY ASSOCIATIONS**

pt hdg ins 2010 SL No. 346 s 6

Division 1—Compliance audits

div 1 (ss 10–11) ins 2010 SL No. 346 s 6

Division 2—Information to be given to chief executive

div 2 (ss 12–13) ins 2010 SL No. 346 s 6

SCHEDULE 1—FEES

amd 2008 SL No. 404 s 3

sub 2009 SL No. 120 s 3 sch; 2010 SL No. 128 s 59

amd 2011 SL No. 86 s 4; 2011 SL No. 115 s 3 sch; 2011 SL No. 214 s 5

sub 2012 SL No. 102 s 3 sch; 2013 SL No. 122 s 3 sch; 2014 SL No. 128 s 3 sch; 2015 SL No. 53 s 107

SCHEDULE 2—DICTIONARY

def *accountant* ins 2010 SL No. 346 s 7

def *association* ins 2010 SL No. 346 s 7

def *complaint management policy* ins 2010 SL No. 346 s 7

def *compliance audit* ins 2010 SL No. 346 s 7

def *corresponding law* ins 2010 SL No. 346 s 7

def *declaration of financial viability* ins 2010 SL No. 346 s 7

def *financially viable* ins 2010 SL No. 346 s 7

def *management committee* ins 2010 SL No. 346 s 7

def *registered business name* om 2011 Act No. 34 s 31 sch 1

def *registered or held business name* ins 2011 Act No. 34 s 31 sch 1

def *relevant member* ins 2010 SL No. 346 s 7

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