



Queensland

*Casino Control Act 1982*

# Casino Control Regulation 1999

Reprinted as in force on 1 July 2008

Reprint No. 5

This reprint is prepared by  
the Office of the Queensland Parliamentary Counsel  
Warning—This reprint is not an authorised copy

# Information about this reprint

This regulation is reprinted as at 1 July 2008. The reprint shows the law as amended by all amendments that commenced on or before that day (Reprints Act 1992 s 5(c)).

The reprint includes a reference to the law by which each amendment was made—see list of legislation and list of annotations in endnotes.

Minor editorial changes allowed under the provisions of the Reprints Act 1992 have also been made to use aspects of format and printing style consistent with current drafting practice (s 35).

This page is specific to this reprint. See previous reprints for information about earlier changes made under the Reprints Act 1992. A table of reprints is included in the endnotes.

**Also see endnotes for information about—**

- **when provisions commenced**
- **editorial changes made in earlier reprints.**

## Spelling

The spelling of certain words or phrases may be inconsistent with other reprints because of changes made in various editions of the Macquarie Dictionary (for example, in the dictionary, ‘judgement’ has replaced ‘judgment’).

## Dates shown on reprints

**Reprints dated at last amendment** All reprints produced on or after 1 July 2002, authorised (that is, hard copy) and unauthorised (that is, electronic), are dated as at the last date of amendment. Previously reprints were dated as at the date of publication. If an authorised reprint is dated earlier than an unauthorised version published before 1 July 2002, it means the legislation was not further amended and the reprint date is the commencement of the last amendment.

If the date of an authorised reprint is the same as the date shown for an unauthorised version previously published, it merely means that the unauthorised version was published before the authorised version. Also, any revised edition of the previously published unauthorised version will have the same date as that version.

**Replacement reprint date** If the date of an authorised reprint is the same as the date shown on another authorised reprint it means that one is the replacement of the other.



Queensland

# Casino Control Regulation 1999

## Contents

---

		Page
<b>Part 1</b>	<b>Preliminary</b>	
1	Short title . . . . .	5
2	Commencement . . . . .	5
3	Definitions . . . . .	5
4	Class or category of persons not required to be licensed—Act, sch . . . . .	7
5	Disclosure of information . . . . .	7
<b>Part 2</b>	<b>Casino licences</b>	
6	Requirements generally . . . . .	7
7	Requirements of individuals . . . . .	9
8	Character reference . . . . .	9
9	Certificate of financial stability . . . . .	10
10	Requirements of entities . . . . .	10
11	Criteria for requiring information etc. . . . .	11
12	Authority to release information . . . . .	11
13	Failure to provide information etc. not an offence. . . . .	12
14	Providing false or misleading information an offence . . . . .	12
<b>Part 3</b>	<b>Licensing of employees of casinos</b>	
15	List of types of work for casino key employees—Act, s 35 . . . . .	12
16	List of types of work for casino employees—Act, s 35 . . . . .	13
17	Display of identification—Act, s 41 . . . . .	13
18	List of licensees—Act s 42 . . . . .	14
<b>Part 4</b>	<b>Fees, taxes and levies</b>	
19	Community benefit levy—Act, s 52. . . . .	14
<b>Part 5</b>	<b>Casino operation</b>	
20	Marking of chips—Act, s 62 . . . . .	15
21	Release or discharge of debts—Act, s 66 . . . . .	16

Contents

---

22	Deposit advance accounts—Act, s 67 . . . . .	17
23	Depositing of cheques—Act, s 70 . . . . .	17
24	Unclaimed winnings and prizes—Act, s 71A . . . . .	18
25	Casino patron claims . . . . .	18
26	Training courses for employees . . . . .	19
27	Times for submission of reports—Act, s 81 . . . . .	19
<b>Part 6</b>	<b>Junkets</b>	
<b>Division 1</b>	<b>Junket agreements</b>	
30	Amounts prescribed for special junket agreements—Act, s 85D .	20
31	Agreement to be in writing . . . . .	20
32	Junket agreement to provide for 1 group only . . . . .	21
33	Copy of agreement to be given to chief executive . . . . .	21
34	Copy of certain passports to be given to chief executive . . . . .	21
<b>Division 2</b>	<b>Notices and reports</b>	
35	Application of division. . . . .	22
36	Notices and reports . . . . .	22
37	Notice about promoter . . . . .	22
38	Notice about promoter’s representative . . . . .	23
39	Monthly report . . . . .	24
<b>Part 7</b>	<b>Gaming machines and machine games</b>	
40	Arrangement for progressive jackpot link . . . . .	25
41	Sharing jackpots. . . . .	26
42	Meaning of terms used on artwork for draw card machine game	26
43	Malfunctioning of gaming machines etc. . . . .	27
<b>Part 8</b>	<b>General</b>	
43A	Prescribed persons for appointment as inspectors—Act, s 6. . . .	29
44	Report about prohibition under order or direction—Act, s 100D .	29
45	Register of licences . . . . .	29
46	Fees . . . . .	30
47	Approval of gaming equipment—Act, s 62. . . . .	30
<b>Schedule 1</b>	<b>Entities</b> . . . . .	31
<b>Schedule 2</b>	<b>Matters in respect of which information may be required of investigated persons (individuals)</b> . . . . .	34
<b>Schedule 3</b>	<b>Matters in respect of which information may be required of investigated persons (entities)</b> . . . . .	36
<b>Schedule 4</b>	<b>Fees</b> . . . . .	38

**Endnotes**

1	Index to endnotes . . . . .	39
2	Date to which amendments incorporated . . . . .	39
3	Key . . . . .	39
4	Table of reprints . . . . .	40
5	List of legislation . . . . .	41
6	List of annotations . . . . .	43



---

# Casino Control Regulation 1999

[as amended by all amendments that commenced on or before 1 July 2008]

## Part 1 Preliminary

### 1 Short title

This regulation may be cited as the *Casino Control Regulation 1999*.

### 2 Commencement

This regulation commences on 1 July 1999.

### 3 Definitions

In this regulation—

***advanced evaluation***, of gaming equipment, means evaluation of any of the following things relating to the equipment—

- (a) communications protocols;
- (b) monitoring systems;
- (c) random number generators.

***basic evaluation***, of gaming equipment, means evaluation of any of the following things relating to the equipment—

- (a) artwork;
- (b) cabinet design;
- (c) documentation, including for example, operational manuals.

***entity*** means a body corporate, partnership, trustee, association, firm or business.

[s 3]

---

***intermediate evaluation***, of gaming equipment, means evaluation of any of the following things relating to the equipment—

- (a) hardware, other than hardware subject to basic evaluation;
- (b) software, other than software subject to advanced evaluation;
- (c) the mathematical treatise of the derivation of the theoretical return to a player.

***investigated person*** means a person of whom the Minister may make requirements under section 6.

***linked jackpot equipment*** means any meter, payout display, linking equipment, computer equipment, programming or other device (other than a gaming machine) forming, or capable of forming, part of a progressive jackpot link arrangement.

***progressive jackpot link arrangement*** means an arrangement under which 2 or more gaming machines are linked to a device recording a winning result or other event resulting in an amount, part of an amount, or something else, being won by a player.

***progressive jackpot meter*** means a device for recording and displaying an amount that, if won by a player, would be—

- (a) payable to the player by a casino operator as a jackpot; or
- (b) credited to the credit meter of the player's gaming machine.

***promoter's representative*** means a person performing, for the promoter, the duties of the promoter under a junket agreement.



---

#### **4 Class or category of persons not required to be licensed—Act, sch**

For the Act, schedule, definition *casino employee*, paragraph (b), the following are the classes or categories of persons—

- (a) persons serving, dispensing or mixing intoxicating liquor or looking after the contents of a cellar in which intoxicating liquor is stored;
- (b) persons waiting upon tables to serve food or drinks;
- (c) persons employed in cleaning work;
- (d) persons employed on building maintenance work, including carpenters, painters, plumbers, electrical workers, fitters, and other maintenance tradespersons, and their assistants;
- (e) persons employed as entertainers or as entertainment support staff including directors, producers, musicians, stage hands, maintenance workers and all others associated with the entertainment.

#### **5 Disclosure of information**

The entities prescribed for section 14(3)(a) of the Act are in schedule 1.

## **Part 2 Casino licences**

#### **6 Requirements generally**

- (1) The Minister may make requirements under this section of any of the following persons, to assist in undertaking investigations to satisfy the Governor in Council that the person is a suitable person to be associated or connected with the management and operations of a hotel-casino complex or casino—

[s 6]

---

- (a) for section 20 of the Act—a casino licensee or associated person;
  - (b) for section 26 of the Act—a proposed lessee under a casino lease, proposed casino operator or associated person;
  - (c) for section 30 of the Act—a casino licensee, lessee under a casino lease, casino operator or associated person.
- (2) The Minister may require an investigated person to give to the Minister—
- (a) if the investigated person is an individual—the information and other items mentioned in section 7; and
  - (b) if the investigated person is an entity—the information and other items mentioned in section 10.
- (3) If the investigated person is a trustee of a trust estate, the Minister may make a requirement of the person under either or both of sections 7 and 10, and if the Minister does so—
- (a) any requirement under section 7 relates to the person as an individual; and
  - (b) any requirement under section 10 relates to the person as trustee, or to the trust estate, or both, as the Minister considers appropriate.
- (4) This section does not restrict the Minister in taking any other action the Minister considers appropriate to fulfil the Minister’s duty under section 20, 26 or 30 of the Act.
- (5) In this section—

*associated person*, for a casino principal, means a person associated or connected, or to be associated or connected, in the opinion of the Minister, with the ownership, administration or management of the operations or business of the casino principal.

*casino principal* means a casino licensee, a lessee under a casino lease, a proposed lessee under a casino lease, a casino operator or a proposed casino operator.

*proposed casino operator* means a person with whom a casino licensee, or a lessee under a casino lease, proposes to enter into a casino management agreement under section 25 of the Act.

*proposed lessee* means a person to whom a casino licensee proposes to lease the casino licensee's hotel-casino complex or casino under section 24 of the Act.

## **7 Requirements of individuals**

- (1) The Minister may require an investigated person who is an individual to give to the Minister any or all of the following—
  - (a) information regarding some or all of the matters mentioned in schedule 2;
  - (b) an authority under section 12;
  - (c) a recent photograph of the individual;
  - (d) a nomination under section 8.
- (2) If the Minister does not require an individual to provide information regarding any of the matters mentioned in schedule 2, part B, the Minister may require the individual to give to the Minister a certificate under section 9.
- (3) A request made of an individual under this section to give to the Minister information about another person is a request to do so to the best of the individual's knowledge.

## **8 Character reference**

The Minister may require an investigated person who is an individual to nominate persons who—

- (a) satisfy the criteria specified by the Minister in the requirement; and
- (b) are considered by the investigated person to be suitable to appraise his or her character and reputation.

[s 9]

---

## **9 Certificate of financial stability**

- (1) The Minister may, under section 7(2), require an investigated person who is an individual to give to the Minister a certificate—
  - (a) signed by an accountant; and
  - (b) containing the accountant's name and address; and
  - (c) stating that the accountant—
    - (i) has examined the individual's financial affairs; and
    - (ii) is of the opinion that the individual is of sound and stable financial background.
- (2) In this section—

*accountant* means—

- (a) a member of the Institute of Chartered Accountants in Australia who holds a current certificate of public practice issued by the institute; or
- (b) a member of the Australian Society of Certified Practising Accountants who holds a current public practice certificate issued by the society; or
- (c) a member of the National Institute of Accountants who holds a current public practice certificate issued by the institute; or
- (d) a person approved by the chief executive as having the necessary experience or qualifications to certify a certificate of financial stability.

## **10 Requirements of entities**

- (1) The Minister may require an investigated person that is an entity to give to the Minister any or all of the following—
  - (a) information regarding some or all of the matters set out in schedule 3;
  - (b) an authority under section 12;

- (c) copies of any documents that relate to the entity lodged in the offices of the National Companies and Securities Commission, the Australian Securities Commission or any other similar body in a country other than Australia;
  - (d) copies of any trust deed or partnership agreement that relates to the entity;
  - (e) copies of the entity's audited and published financial statements;
  - (f) copies of any related body corporate's audited and published financial statements.
- (2) In this section—
- related body corporate* has the meaning given by the Corporations Law.

## 11 Criteria for requiring information etc.

In deciding the requirements to make of an investigated person under section 7 or 10, the Minister is to consider, but is not limited to, the nature and level of involvement proposed for the person in the management and operations of the hotel-casino complex or casino.

## 12 Authority to release information

- (1) The Minister may require an investigated person to give the Minister an authority that authorises the addressee of the authority to release documents to—
    - (a) an inspector; or
    - (b) a member of the police service.
  - (2) In subsection (1)—
- documents* means all, or specified, records, correspondence and other documents, in the possession of or under the control of the addressee, that relate to the investigated person.

[s 13]

---

**13 Failure to provide information etc. not an offence**

Subject to section 14, a person who fails to comply with a requirement made under this part does not commit an offence.

**14 Providing false or misleading information an offence**

A person who is required to provide information under this part commits an offence if the person—

- (a) provides false or misleading information; or
- (b) for an investigation under section 26 or 30 of the Act—does not provide the information within the time stated in the requirement.

Maximum penalty—10 penalty units.

**Part 3 Licensing of employees of casinos**

**15 List of types of work for casino key employees—Act, s 35**

For section 35(1)(d) of the Act, the following list is prescribed—

- (a) administrative management;
- (b) cash and accounting management;
- (c) casino executive management;
- (d) casino management;
- (e) casino promotions management, including junket promotions management;
- (f) gaming management;
- (g) gaming machine management;
- (h) internal audit management;

- (i) keno gaming management;
- (j) security management;
- (k) surveillance management.

**16 List of types of work for casino employees—Act, s 35**

For section 35(1)(d) of the Act, the following list is prescribed—

- (a) administrative and incidental operations;
- (b) cash and accounting operations;
- (c) casino promotions, including junket promotions;
- (d) games supervision;
- (e) games dealing;
- (f) gaming machine operations;
- (g) internal audit operations;
- (h) keno gaming operations;
- (i) security operations;
- (j) surveillance operations.

**17 Display of identification—Act, s 41**

- (1) For section 41(1) of the Act, the form of identification is a card or other substantial material containing a photograph of the employee's face at least 30mm square and stating in letters or numbers at least 8mm high the employee's licence number and access code.
- (2) A distinguishing background colour must be used for photographs of casino key employees.
- (3) A different distinguishing background colour must be used for photographs of casino employees.
- (4) All forms of identification must be provided by the casino operator.

[s 18]

---

- (5) The casino operator must not give a form of identification to a casino key employee or a casino employee unless the form of identification has been approved by the chief executive.

Maximum penalty for subsection (5)—10 penalty units.

- (6) In this section—

***access code*** means the code, consisting of letters or numbers, that identifies a person who is authorised to have access to a non-public area to which entry is restricted.

## **18 List of licensees—Act s 42**

For section 42(2)(d) of the Act, the other information is as follows—

- (a) the type of work performed by the licensee;
- (b) whether the licensee is employed on a full-time, part-time or casual basis;
- (c) if the licensee is employed on a part-time or casual basis—the most recent date on which the licensee performed work during the period to which the list relates.

# **Part 4 Fees, taxes and levies**

## **19 Community benefit levy—Act, s 52**

For section 52(10) of the Act—

- (a) a single trust deed may apply to the levies paid for the following licences—
  - (i) the casino licence mentioned in the agreement approved under the *Brisbane Casino Agreement Regulation 1993*;



- (ii) the casino licence mentioned in the agreement authorised under the *Jupiters Casino Agreement Act 1983*; and
- (b) a single account may be kept for the levies paid for the licences.

## Part 5 Casino operation

### 20 Marking of chips—Act, s 62

- (1) For section 62(5)(b) of the Act, the other matters are—
  - (a) for chips of a specified denomination, known as *value chips*—the value of the chips, with each denomination being a separate distinguishing colour and having markings indicating the value of the chips; and
  - (b) for chips not of a specified denomination, known as *non-value chips*, and used in a game that permits their use—
    - (i) the name of the game; and
    - (ii) a design, insert or symbol that identifies the chips used at different tables for the game.
- (2) A casino operator must ensure the following are clearly distinguishable by the casino's closed circuit television system—
  - (a) the colour of value chips, and the markings indicating the value of the chips;
  - (b) the design, insert or symbol on non-value chips.

Maximum penalty—10 penalty units.

[s 21]

---

## **21 Release or discharge of debts—Act, s 66**

For section 66(1)(f) of the Act, the information and material is as follows—

- (a) the name and address of the debtor;
- (b) the gaming history of the debtor;
- (c) the financial history of the debtor;
- (d) the date or dates the debt was incurred;
- (e) the circumstances surrounding the transaction or transactions leading to the debt being incurred;
- (f) full information about the cheque or cheques involved in the transaction including the following—
  - (i) the cheque number;
  - (ii) the drawer;
  - (iii) the bank on which the cheque was drawn;
  - (iv) the signatory or signatories;
  - (v) the date the cheque was drawn;
  - (vi) the date the cheque was deposited;
  - (vii) the payee's name;
  - (viii) the date the cheque was returned by the bank;
  - (ix) the amount of the cheque;
  - (x) the banker's endorsement;
- (g) the name of the person who authorised the transaction;
- (h) the total amount of the original debt;
- (i) the details of any amount recovered;
- (j) the balance of the debt outstanding;
- (k) the details of action taken, or available, to recover the debt including debt collection agencies or legal proceedings;

- (l) the charges incurred or costs involved in respect of the debt;
- (m) details of whether the casino operator or the casino operator's agent or employee adhered to relevant accounting procedures;
- (n) the amount of debt proposed to be released or discharged;
- (o) detailed reasons for the release or discharge of the whole or part of the debt;
- (p) the date and content of the casino operator's resolution or other authorisation to release or discharge the whole or part of the debt.

## **22 Deposit advance accounts—Act, s 67**

For section 67(2A)(d) of the Act, the following cheques are prescribed—

- (a) a bank cheque drawn in favour of the casino operator;
- (b) a cheque drawn by 1 of the following in favour of the person and endorsed to the casino operator—
  - (i) a wagering licensee under the *Wagering Act 1998*;
  - (ii) a keno licensee under the *Keno Act 1996*;
  - (iii) a person who holds a licence to operate a casino in a place outside Australia.

## **23 Depositing of cheques—Act, s 70**

For section 70 of the Act, the prescribed time is—

- (a) if the cheque is drawn on an account held with a bank in Australia—5 working days; and
- (b) if paragraph (a) does not apply—30 working days.

[s 24]

---

## **24 Unclaimed winnings and prizes—Act, s 71A**

For the definition *designated departmental account* in section 71A(5) of the Act, the Treasurer's unclaimed moneys fund kept under the *Financial Administration and Audit Act 1977* is designated as the account at the department to which the payments mentioned in the definition are to be made.

## **25 Casino patron claims**

- (1) If a casino operator, or an employee or agent of a casino operator, receives a claim for payment, related to a gaming transaction, from a casino patron, the casino operator must immediately attempt to resolve the claim.
- (2) If the operator can not resolve the claim, the operator must immediately advise an inspector.
- (3) The inspector must, as soon as practicable, investigate the claim, record all relevant information about the claim and tell the operator and the patron the results of the investigation.
- (4) If, after receiving the inspector's report, the operator can not resolve the claim, the operator must immediately tell the patron that the patron may, within 10 days, ask the chief executive to review the operator's decision about the claim.
- (5) The following provisions apply to the chief executive's review of an operator's decision—
  - (a) a request for review must be made on the approved form;
  - (b) the chief executive must not review the operator's decision if the request to review is received after the 10 days mentioned in subsection (4);
  - (c) the chief executive must advise the operator and the patron as soon as practicable after receiving the request whether the chief executive intends to review the operator's decision;
  - (d) if the chief executive intends to review the operator's decision, the chief executive must—

- 
- (i) give the operator a copy of the approved form completed by the patron; and
  - (ii) notify the operator and the patron that each of them may, within 1 month after the date of the notice, give a written submission to the chief executive about the claim;
- (e) after the time for making submissions has ended, the chief executive may cause the investigations the chief executive considers appropriate to be made and the results of the investigations to be reported to the chief executive;
- (f) the chief executive must, as soon as practicable, consider the submissions and reports, and notify the operator and the patron in writing of the chief executive's decision, stating the reasons for the decision.

## **26 Training courses for employees**

A casino operator must, for each casino key employee or casino employee, keep a record of—

- (a) each training course, relating to the playing of games, the conduct of games and associated activities in connection with casino operations, completed by the employee; and
- (b) the dates and times the employee attended each course; and
- (c) the date the employee completed each course.

*Editor's note—*

See section 72 (Training courses for employees) of the Act.

Maximum penalty—10 penalty units.

## **27 Times for submission of reports—Act, s 81**

For section 81(1) of the Act, the following times are prescribed—

[s 30]

---

- (a) for a casino daily report—not later than 72 hours after the end of the daily gaming period reported on;
- (b) for a casino monthly report—not later than 10 days after the end of the month reported on;
- (c) for a casino quarterly report—not later than 1 month after the end of the quarter reported on;
- (d) for a casino annual report—not later than 4 months after the end of the financial year reported on.

## **Part 6                      Junkets**

### **Division 1                Junket agreements**

#### **30        Amounts prescribed for special junket agreements—Act, s 85D**

- (1) For section 85D(1)(b) of the Act, the amount prescribed is \$50000.
- (2) For section 85D(2)(b) of the Act, the amount prescribed is \$250000.

#### **31        Agreement to be in writing**

A casino operator must not enter into a junket agreement unless the agreement is in writing and contains the following information—

- (a) the name of the promoter;
- (b) a sequential junket number given by the casino operator to the group of participants;
- (c) the name of each participant;
- (d) the period when participants are expected to visit the casino;

- 
- (e) the amount agreed to be committed under the agreement by the participants;
  - (f) the complimentary services proposed to be supplied to the participants by the casino operator;
  - (g) the commission proposed to be paid to the promoter by the casino operator.

Maximum penalty—10 penalty units.

**32 Junket agreement to provide for 1 group only**

A casino operator must not enter into a junket agreement if the agreement provides for more than 1 group of participants.

Maximum penalty—10 penalty units.

**33 Copy of agreement to be given to chief executive**

A casino operator who enters into a junket agreement must give a signed copy of the agreement to the chief executive before any participant under the agreement starts play as a participant.

Maximum penalty—10 penalty units.

**34 Copy of certain passports to be given to chief executive**

- (1) This section applies to a casino operator if—
  - (a) the casino operator enters into a junket agreement; and
  - (b) a participant who is neither an Australian resident nor an Australian citizen visits the casino under the agreement; and
  - (c) the casino operator has not already complied with this section on a previous visit of the participant to the casino.
- (2) The casino operator must, within 8 hours after the participant's arrival at the casino, give a photocopy of the relevant parts of the participant's passport to the chief

[s 35]

---

executive, unless the operator has a reasonable excuse for not giving the copy.

Maximum penalty—10 penalty units.

- (3) The relevant parts of the passport are the parts showing—
- (a) the country of issue of the passport; and
  - (b) the number of the passport; and
  - (c) the participant's date of birth; and
  - (d) a photograph of the participant.

## **Division 2                      Notices and reports**

### **35            Application of division**

This division applies to a casino operator who has entered into a junket agreement.

### **36            Notices and reports**

A notice or report required to be given by the casino operator under this division must be given, in writing, to the chief executive.

### **37            Notice about promoter**

- (1) The casino operator must give a notice under this section about a promoter with whom the casino operator has entered into a junket agreement.

Maximum penalty—10 penalty units.

- (2) Subsection (1) applies to the casino operator only if—
- (a) notice about the promoter has not previously been given—
    - (i) under this section; or



- 
- (ii) under section 38, when the promoter was a promoter's representative; and
  - (b) the junket agreement is not a sole participant agreement; and
  - (c) the promoter is not a casino key employee.
- (3) The casino operator must give the notice to the chief executive before the promoter starts to perform obligations under the junket agreement.

Maximum penalty—10 penalty units.

- (4) The purpose of the notice is to allow the chief executive to assess the suitability of the promoter for involvement in future junket agreements.
- (5) The notice must be in the form approved by the chief executive, and be accompanied by the fee prescribed, for the assessment.
- (6) The notice must be given even if the promoter is acting through a promoter's representative.
- (7) The chief executive must comply with a reasonable request from the casino operator for information about whether, for subsection (2)(a), notice about the promoter has previously been given.

### **38 Notice about promoter's representative**

- (1) The casino operator must give a notice under this section about a promoter's representative.

Maximum penalty—10 penalty units.

- (2) Subsection (1) applies to the casino operator only if—
  - (a) notice about the promoter's representative has not previously been given—
    - (i) under section 37, when the promoter's representative was a promoter; or
    - (ii) under this section; and

[s 39]

---

- (b) the junket agreement is not a sole participant agreement; and
  - (c) the promoter's representative is not a casino key employee.
- (3) The casino operator must give the notice to the chief executive before the promoter's representative starts to perform obligations under the junket agreement.
- Maximum penalty—10 penalty units.
- (4) The purpose of the notice is to allow the chief executive to assess the suitability of the promoter's representative for involvement in future junket agreements.
- (5) The notice must be in the form approved by the chief executive, and be accompanied by the fee prescribed, for the assessment.
- (6) The chief executive must comply with a reasonable request from the casino operator for information about whether, for subsection (2)(a), notice about the promoter's representative has previously been given.

### **39 Monthly report**

- (1) The casino operator must, for each month, give a report under this section about visits to the casino during the month by participants under junket agreements.
- Maximum penalty—10 penalty units.
- (2) The report must be given to the chief executive within 14 days after the end of the month.
- (3) The report must contain the particulars decided by the chief executive.
- (4) The chief executive may decide only particulars relevant to allowing the chief executive to find out whether junket agreements are being complied with, including, for example, particulars of—
- (a) the amount deposited with the casino operator by each group of participants; and



[s 41]

---

#### **41 Sharing jackpots**

- (1) This section applies to players of gaming machines linked in a progressive jackpot link arrangement.
- (2) If 2 or more players are eligible for payment of the amount displayed on the progressive jackpot meter for the arrangement, the amount of the progressive jackpot is to be shared equally among the winning players.
- (3) Two or more players are eligible for the amount of the progressive jackpot if—
  - (a) the players obtain the winning combination at the same time; or
  - (b) a player obtained the winning combination but—
    - (i) the progressive jackpot link arrangement allows other players playing gaming machines in the arrangement to complete a wager made before, or at the same time as, the winning combination was or is obtained; and
    - (ii) in completing the wager before further play is prevented, another player obtains, or other players obtain, the winning combination as a result of the wager.
- (4) Subject to subsection (2), a player of a gaming machine may not claim payment of an amount, or a share of an amount, that was displayed on the progressive jackpot meter if the amount of the progressive jackpot has transferred from the progressive jackpot meter to a gaming machine played by another player.

#### **42 Meaning of terms used on artwork for draw card machine game**

The terms used in the artwork on a gaming machine that has been programmed to play a machine game based on a deck of 52 cards and known as ‘draw card’ have the following meanings—

*flush* means any 5 cards of the same suit, but not running consecutively in face value.

*four of a kind* means any 4 cards with the same face value.

*full house* means 3 cards with the same face value and another 2 cards with the same face value.

*jacks or better* means a pair of jacks, queens, kings or aces.

*odd card*, in relation to another card or cards, means a card with a different face value to the other card or cards.

*royal flush* means ten, jack, queen, king and ace of the same suit.

*straight* means 5 cards of more than 1 suit running consecutively in face value.

*straight flush* means any 5 cards of the same suit running consecutively in face value other than a royal flush.

*three of a kind* means any 3 cards with the same face value.

*two pairs* means 2 cards with the same face value, another 2 cards with the same face value (other than the face value of the first 2 cards) and 1 odd card.

#### **43 Malfunctioning of gaming machines etc.**

- (1) A casino operator may refuse to pay a player for a wager made by the player on a gaming machine if the operator believes, on reasonable grounds, that—
  - (a) the gaming machine has malfunctioned; or
  - (b) the machine game installed on the gaming machine has malfunctioned; or
  - (c) the electronic monitoring system for the gaming machine or its associated equipment has malfunctioned.
- (2) If the casino operator refuses to pay a player under subsection (1), the operator must not allow any person to use or deal with the gaming machine until an inspector has examined the machine and investigated the reason for the malfunction.

[s 43]

---

Maximum penalty—10 penalty units.

- (2A) Also, if the casino operator refuses to pay a player under subsection (1), section 25 applies to the operator for the player's claim for payment.
- (3) This section applies regardless of the reason for the malfunction.
- (4) A gaming machine, machine game or the electronic monitoring system for a gaming machine or its associated equipment malfunctions if it does not function in the way it was designed and programmed to function.
- (5) In this section—
- associated equipment*, for a gaming machine, means—
- (a) any linked jackpot equipment; or
  - (b) any centralised credit system; or
  - (c) any part of, or replacement part for, any such equipment or system.

*centralised credit system* means any electronic or computer system or device designed to be used for, or adapted to enable, the transfer of credits of gaming tokens to or from a gaming machine.

*electronic monitoring system*, for a gaming machine or its associated equipment, means any electronic or computer system or device designed to be used, or adapted, to send or receive data from the machine or equipment in relation to the security, accounting or operation of the machine or equipment.

---

## Part 8                      General

### **43A    Prescribed persons for appointment as inspectors—Act, s 6**

For section 6(1)(b) of the Act, a police officer is a prescribed person.

### **44       Report about prohibition under order or direction—Act, s 100D**

- (1) A casino operator must give the chief executive a report about the prohibition of persons from entering or remaining in the casino under a self-exclusion order or an exclusion direction—
  - (a) within 14 days after 31 December in each year; and
  - (b) within 14 days after—
    - (i) 30 June 2006; and
    - (ii) 30 June in each later year.
- (2) Subsection (3) applies if a person enters or remains in a casino in contravention of a self-exclusion order or an exclusion direction.
- (3) The casino operator must, within 7 days after the contravention, give a report to the chief executive about the prohibition of the person from entering or remaining in the casino under the self-exclusion order or exclusion direction.

### **45       Register of licences**

- (1) The chief executive must keep a register of all licences granted under the Act.
- (2) The register must include any information of which the chief executive is aware about matters affecting or that may affect the licence.

[s 46]

---

## **46 Fees**

The fees payable under the Act are in schedule 4.

## **47 Approval of gaming equipment—Act, s 62**

- (1) For deciding whether to approve gaming equipment under section 62(3)(a) of the Act, the chief executive may—
  - (a) carry out an evaluation of the equipment; or
  - (b) inform the person asking for the approval (the *applicant*) that the applicant may—
    - (i) have the equipment evaluated by an approved evaluator; and
    - (ii) give the chief executive the evaluator's written report about the evaluation.
- (2) An evaluation carried out by the chief executive may include 1 or more of the following types of evaluation—
  - (a) basic evaluation;
  - (b) intermediate evaluation;
  - (c) advanced evaluation.
- (3) In deciding whether to approve gaming equipment, the chief executive must have regard to—
  - (a) if the chief executive carries out an evaluation of the equipment—the evaluation; or
  - (b) if an approved evaluator evaluates the equipment—the evaluator's report about the evaluation.
- (4) If the chief executive carries out an evaluation, the person asking for approval of gaming equipment must pay the fee stated in schedule 4 for the evaluation.



---

## **Schedule 1      Entities**

### section 5

Alberta Gaming and Liquor Commission, Canada  
Alcohol and Gaming Commission of Ontario, Canada  
Alcohol and Gaming Division Nova Scotia, Canada  
Australian Bureau of Criminal Intelligence  
Australian Capital Territory Gambling and Racing  
Commission  
Australian Competition and Consumer Commission  
Australian Crime Commission  
Australian Customs Service  
Australian Federal Police  
Australian Securities and Investments Commission  
Australian Security Intelligence Organisation  
Australian Taxation Office  
Australian Transaction Reports and Analysis Centre  
(AUSTRAC)  
British Columbia Gaming Policy and Enforcement Branch,  
Canada  
Casino Regulation Division, Ministry of Home Affairs,  
Singapore  
Centrelink  
Colorado Division of Gaming, USA  
Colorado State Patrol, USA  
Crime and Misconduct Commission, Queensland  
Department of Immigration and Citizenship  
Department of Internal Affairs, New Zealand

Department of Racing, Gaming and Liquor, Western Australia  
Department of Treasury and Finance, Revenue, Gaming and  
Licensing Division, Tasmania  
Department of Treasury and Finance, South Australia  
Gambling Commission, Great Britain  
Gambling Commission, New Zealand  
Gaming and Wagering Commission of Western Australia  
Gaming Board of the Commonwealth of the Bahamas  
Independent Gambling Authority, South Australia  
Independent Gambling Authority, South Australia  
Interpol  
Liquor Licensing Division, Queensland  
Lotteries Commission of South Australia  
Lotterywest, Western Australia  
Louisiana State Police, USA  
Missouri State Highway Patrol, USA  
Mpumalanga Gaming Board, South Africa  
National Indian Gaming Commission, USA  
Nevada Gaming Commission, USA  
Nevada Gaming Control Board, USA  
New Jersey Casino Control Commission, USA  
New Jersey Division of Gaming Enforcement, USA  
New South Wales Casino Control Authority  
New South Wales Crime Commission  
New South Wales Office of Liquor, Gaming and Racing  
New South Wales Police Service  
New Zealand Police  
Northern Territory Licensing Commission

Northern Territory Police  
Office of Fair Trading, Queensland  
Office of State Revenue, Queensland  
Office of the Liquor and Gambling Commissioner, South  
Australia  
Pueblo of Isleta Gaming Regulatory Agency, USA  
Queensland Police Service  
Racing, Gaming and Licensing Division, Northern Territory  
Racing Services Tasmania  
South Australia Police  
South Dakota Commission on Gaming, USA  
Tasmanian Gaming Commission  
Tasmania Police  
The Mississippi Gaming Commission, USA  
Victorian Commission for Gambling Regulation  
Victoria Police  
Western Australia Police Service  
Western Cape Gambling and Racing Board, South Africa  
WorkCover Queensland

## **Schedule 2      Matters in respect of which information may be required of investigated persons (individuals)**

section 7

### **Part A**

- 1 the individual's full name and any other names (such as aliases, nicknames, previous surnames) under which the individual is or has been known
- 2 the individual's present and past addresses and telephone numbers
- 3 the individual's date and place of birth
- 4 the individual's gender
- 5 the individual's physical description
- 6 any charges made against the individual of which the individual was found guilty, whether or not a conviction was recorded
- 7 any civil action—
  - (a) to which the individual has been or is currently a party; or
  - (b) that the individual is aware may be pending and that involves the individual
- 8 the individual's citizenship
- 9 the individual's enrolment or non-enrolment (as the case may be) under a State electoral roll
- 10 the individual's driver's licence
- 11 the individual's present and past marriages and spouses
- 12 the individual's father, mother, brothers, sisters and children

- 
- 13 any charges made against any of the persons mentioned in item 12 of which the person was found guilty, whether or not a conviction was recorded
  - 14 the individual's education
  - 15 the individual's service in the armed forces
  - 16 the individual's passport
  - 17 the individual's travel out of Australia during the 3 years immediately before the date of the application
  - 18 any repossession of any of the individual's assets by a finance company
  - 19 the individual's work history, including former employers and any dismissals of the individual
  - 20 any body corporate, trust, partnership, joint venture or business in which the individual has participated by way of management or operation
  - 21 any involvement of the individual in bookmaking operations or any other aspect of the racing industry
  - 22 any present or past involvement of the individual in the casino industry
  - 23 any application by the individual or the individual's spouse for a firearm licence

## **Part B**

- 24 whether the individual has ever been bankrupt or taken advantage of the laws relating to bankruptcy or insolvency
- 25 the individual's assets and liabilities
- 26 the present and past sources of the individual's income and the individual's spouse's income
- 27 the individual's current and intended future investment in the hotel-casino complex

## **Schedule 3      Matters in respect of which information may be required of investigated persons (entities)**

section 10

- 1 the name of the entity
- 2 the entity's present and past addresses, telephone numbers, facsimile numbers and places of business
- 3 the incorporation of the entity
- 4 the registration of the entity with the Australian Securities Commission
- 5 the entity's present and past activities
- 6 any business names previously or currently registered by the entity
- 7 any related bodies corporate
- 8 the entity's present and past capital, including—
  - (a) issues of share capital; and
  - (b) annual profits or losses; and
  - (c) revaluation of capital; and
  - (d) dividends; and
  - (e) capital losses
- 9 any prosecutions of the entity
- 10 any other legal action—
  - (a) taken by or against the entity; or
  - (b) pending by or against the entity
- 11 the entity's present and past chief executives, secretaries, principal executive officers, senior management personnel and auditors

- 12 the names of any legal advisers or other consultants previously engaged by the entity
- 13 ownership of the entity
- 14 the entity's accounts at financial institutions
- 15 the entity's investments
- 16 the entity's present and past financial situation generally
- 17 the estimated cost of the casino project and the proposed method of financing the project
- 18 the entity's investment in the casino
- 19 any previous association with the ownership, administration or management of the casino by the entity's management, chief executives and other officers
- 20 the entity's present or past association with any other person involved with the ownership, administration or management of a casino
- 21 agents appointed by the entity

## Schedule 4 Fees

section 46

	\$
1 Application for casino employee licence (s 35(1)(b) of the Act) . . . . .	383.00
2 Application for casino key employee licence (s 35(1)(b) of the Act)—	
(a) if the applicant holds a casino employee licence	383.00
(b) if the applicant does not hold a casino employee licence . . . . .	732.00
3 Application for a replacement casino key employee licence or casino employee licence (s 39E(2) of the Act) . . . . .	28.00
4 Casino licence fee (s 50 of the Act) (for each quarter) . . . . .	183 000.00
5 Fee under section 37(5) or 38(5) for assessment . . .	732.00
6 Fee under section 47(4) for evaluation for approval of gaming equipment—for each hour, or part of an hour, involved in the following—	
(a) carrying out—	
(i) basic evaluation . . . . .	158.00
(ii) intermediate evaluation . . . . .	197.00
(iii) advanced evaluation . . . . .	236.00
(iv) administration for an evaluation . . . . .	119.00
(b) giving advice for an evaluation . . . . .	119.00
(c) holding meetings for an evaluation . . . . .	119.00
7 Filing a notice of appeal (s 91B(2)(a) of the Act) . . .	319.00



## Endnotes

### 1 Index to endnotes

	Page
2 Date to which amendments incorporated . . . . .	39
3 Key . . . . .	39
4 Table of reprints . . . . .	40
5 List of legislation . . . . .	41
6 List of annotations . . . . .	43

### 2 Date to which amendments incorporated

This is the reprint date mentioned in the Reprints Act 1992, section 5(c). Accordingly, this reprint includes all amendments that commenced operation on or before 1 July 2008. Future amendments of the Casino Control Regulation 1999 may be made in accordance with this reprint under the Reprints Act 1992, section 49.

### 3 Key

#### Key to abbreviations in list of legislation and annotations

Key	Explanation	Key	Explanation
AIA	= Acts Interpretation Act 1954	(prev)	= previously
amd	= amended	proc	= proclamation
amdt	= amendment	prov	= provision
ch	= chapter	pt	= part
def	= definition	pubd	= published
div	= division	R[X]	= Reprint No. [X]
exp	= expires/expired	RA	= Reprints Act 1992
gaz	= gazette	reloc	= relocated
hdg	= heading	renum	= renumbered
ins	= inserted	rep	= repealed
lap	= lapsed	(retro)	= retrospectively
notfd	= notified	rv	= revised edition
num	= numbered	s	= section
o in c	= order in council	sch	= schedule
om	= omitted	sdiv	= subdivision
orig	= original	SIA	= Statutory Instruments Act 1992
p	= page	SIR	= Statutory Instruments Regulation 2002
para	= paragraph	SL	= subordinate legislation
prec	= preceding	sub	= substituted
pres	= present	unnum	= unnumbered
prev	= previous		

## Endnotes

## 4 Table of reprints

Reprints are issued for both future and past effective dates. For the most up-to-date table of reprints, see the reprint with the latest effective date.

If a reprint number includes a letter of the alphabet, the reprint was released in unauthorised, electronic form only.

Reprint No.	Amendments to	Effective	Reprint date
1	none	1 July 1999	22 July 1999
1A	1999 SL No. 275	12 November 1999	22 November 1999
1B	2000 SL No. 13	4 February 2000	18 February 2000
1C	2000 SL No. 137	1 July 2000	14 July 2000
1D	2000 SL No. 255	29 September 2000	13 October 2000
2	2000 SL No. 255	29 September 2000	6 April 2001
2A	2001 SL No. 168	21 September 2001	28 September 2001
2B	2001 SL No. 270	21 December 2001	4 January 2002
2C	2002 SL No. 128	7 June 2002	13 June 2002
2D	2002 SL No. 138	14 June 2002	21 June 2002

Reprint No.	Amendments included	Effective	Notes
2E	2002 SL No. 244	30 September 2002	
2F	2002 SL No. 244	1 October 2002	R2F withdrawn, see R3
3	—	1 October 2002	
3A	2003 SL No. 54	1 April 2003	
3B	2003 SL No. 219	30 September 2003	
3C	2003 SL No. 219	1 October 2003	
3D	2004 SL No. 194	30 September 2004	
3E	2004 SL No. 194	1 October 2004	
3F rv	2004 SL No. 253	1 December 2004	
3G rv	2005 SL No. 1	4 February 2005	
3H	2005 SL No. 69	1 May 2005	
4	2005 SL No. 115	1 July 2005	
4A	2006 SL No. 139	1 July 2006	
4B	2007 SL No. 137	1 July 2007	
4C	2007 SL No. 210	1 September 2007	
4D	2008 SL No. 177	1 July 2008	R4D withdrawn, see R5
5	—	1 July 2008	

## 5 List of legislation

### **Casino Control Regulation 1999 SL No. 127**

made by the Governor in Council on 24 June 1999

notfd gaz 25 June 1999 pp 932–8

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 1999 (see s 2)

exp 1 September 2009 (see SIA s 54)

Note—The expiry date may have changed since this reprint was published. See the latest reprint of the SIR for any change.

amending legislation—

### **Gaming Legislation Amendment Regulation (No. 1) 1999 SL No. 275 s 1, pt 3**

notfd gaz 12 November 1999 pp 1007–9

commenced on date of notification

### **Gaming Legislation Amendment Regulation (No. 1) 2000 SL No. 13 pts 1–2**

notfd gaz 4 February 2000 pp 371–4

commenced on date of notification

### **Gaming Legislation Amendment Regulation (No. 2) 2000 SL No. 136 pts 1–2**

notfd gaz 30 June 2000 pp 736–48

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2000 (see s 2)

### **Treasury Legislation Amendment Regulation (No. 1) 2000 SL No. 137 pts 1–2**

notfd gaz 30 June 2000 pp 736–48

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2000 (see s 2)

### **Casino Control Amendment Regulation (No. 1) 2000 SL No. 255**

notfd gaz 29 September 2000 pp 404–5

commenced on date of notification

### **Casino Control Amendment Regulation (No. 1) 2001 SL No. 168**

notfd gaz 21 September 2001 pp 230–1

commenced on date of notification

### **Gambling Legislation Amendment Regulation (No. 1) 2001 SL No. 270 s 1, pt 2**

notfd gaz 21 December 2001 pp 1482–8

commenced on date of notification

### **Gambling Legislation Amendment Regulation (No. 1) 2002 SL No. 128 pts 1–2**

notfd gaz 7 June 2002 pp 575–8

commenced on date of notification

### **Gambling Legislation Amendment Regulation (No. 2) 2002 SL No. 138 pts 1–2**

notfd gaz 14 June 2002 pp 697–700

commenced on date of notification

**Gambling Legislation Amendment Regulation (No. 3) 2002 SL No. 244 pts 1–2**

notfd gaz 27 September 2002 pp 340–4  
ss 1–2 commenced on date of notification  
ss 3, 5(4) commenced 30 September 2002 (see s 2(1))  
remaining provisions commenced 1 October 2002 (see s 2(2))

**Discrimination Law (Marital Status) Amendment Regulation (No. 1) 2003 SL No. 54**

ss 1–3 sch  
notfd gaz 28 March 2003 pp 1125–9  
ss 1–2 commenced on date of notification  
remaining provisions commenced 1 April 2003 (see s 2)

**Gambling Legislation Amendment Regulation (No. 1) 2003 SL No. 219 pts 1–2**

notfd gaz 19 September 2003 pp 219–21  
ss 1–2 commenced on date of notification  
ss 3, 6(2) commenced 30 September 2003 (see s 2(1))  
remaining provisions commenced 1 October 2003 (see s 2(2))

**Gambling Legislation Amendment Regulation (No. 1) 2004 SL No. 194 pts 1–2**

notfd gaz 24 September 2004 pp 322–4  
ss 1–2 commenced on date of notification  
ss 3, 4(2) commenced 30 September 2004 (see s 2(1))  
remaining provisions commenced 1 October 2004 (see s 2(2))

**Gambling Legislation Amendment Regulation (No. 2) 2004 SL No. 253 pts 1–2**

notfd gaz 26 November 2004 pp 1040–2  
ss 1–2 commenced on date of notification  
remaining provisions commenced 1 December 2004 (see s 2)

**Gambling Legislation Amendment Regulation (No. 1) 2005 SL No. 1 s 1, pt 2**

notfd gaz 4 February 2005 pp 358–9  
commenced on date of notification

**Gambling Legislation Amendment Regulation (No. 2) 2005 SL No. 69 pts 1–2**

notfd gaz 29 April 2005 pp 1392–5  
ss 1–2 commenced on date of notification  
remaining provisions commenced 1 May 2005 (see s 2)

**Gambling Legislation Amendment Regulation (No. 3) 2005 SL No. 115 pts 1–2**

notfd gaz 17 June 2005 pp 569–71  
ss 1–2 commenced on date of notification  
remaining provisions commenced 1 July 2005 (see s 2)

**Gambling Legislation Amendment Regulation (No. 1) 2006 SL No. 139 pts 1–2**

notfd gaz 23 June 2006 pp 898–902  
ss 1–2 commenced on date of notification  
remaining provisions commenced 1 July 2006 (see s 2)

**Gambling Legislation Amendment Regulation (No. 1) 2007 SL No. 137 pts 1–2**

notfd gaz 29 June 2007 pp 1157–65  
ss 1–2 commenced on date of notification  
remaining provisions commenced 1 July 2007 (see s 2)

**Gambling Legislation Amendment Regulation (No. 2) 2007 SL No. 210 pts 1–2**

notfd gaz 24 August 2007 pp 2151–3

ss 1–2 commenced on date of notification

remaining provisions commenced 1 September 2007 (see s 2)

**Gambling Legislation Amendment Regulation (No. 1) 2008 SL No. 177 pts 1–2**

notfd gaz 27 June 2008 pp 1268–78

ss 1–2 commenced on date of notification

remaining provisions commenced 1 July 2008 (see s 2)

## 6 List of annotations

**Definitions**

- s 3** def “**advanced evaluation**” ins 2002 SL No. 128 s 3  
 def “**basic evaluation**” ins 2002 SL No. 128 s 3  
 def “**intermediate evaluation**” ins 2002 SL No. 128 s 3  
 def “**progressive jackpot meter**” ins 2002 SL No. 138 s 3  
 def “**spouse**” om 2003 SL No. 54 s 3 sch

**Class or category of persons not required to be licensed—Act, sch****prov hdg** amd 2003 SL No. 219 s 4(1)**s 4** amd 2003 SL No. 219 s 4(2)**Disclosure of information****s 5** amd 2005 SL No. 1 s 4**Providing false or misleading information an offence****s 14** amd 1999 SL No. 275 s 6**Display of identification—Act, s 41****s 17** amd 2002 SL No. 138 s 4**Marking of chips—Act, s 62****s 20** amd 2002 SL No. 138 s 5**Deposit advance accounts—Act, s 67****s 22** amd 2001 SL No. 270 s 3**Training courses for employees****s 26** amd 2002 SL No. 138 s 6**Advertising****s 28** om 2002 SL No. 244 s 4**Directions about advertising****s 29** om 2002 SL No. 244 s 4**Notice about promoter****s 37** amd 2002 SL No. 138 s 7**Notice about promoter’s representative****s 38** amd 2002 SL No. 138 s 8

Endnotes

---

**Monthly report**

s 39 amd 2002 SL No. 138 s 9

**Arrangement for progressive jackpot link**

s 40 amd 2002 SL No. 138 s 10

**Malfunctioning of gaming machines etc.**

s 43 amd 2002 SL No. 138 s 11

**Prescribed persons for appointment as inspectors—Act, s 6**

s 43A ins 2004 SL No. 253 s 4

**Report about prohibition under order or direction—Act, s 100D**

s 44 sub 2005 SL No. 69 s 4; 2005 SL No. 115 s 4

**Approval of gaming equipment—Act, s 62**

s 47 prev s 47 exp 2 July 1999 (see s 47(2))  
pres s 47 ins 2002 SL No. 128 s 4

**SCHEDULE 1—ENTITIES**

sub 2000 SL No. 136 s 4  
amd 2002 SL No. 138 s 12; 2003 SL No. 219 s 5; 2004 SL No. 253 s 5; 2005  
SL No. 1 s 5; 2006 SL No. 139 s 4; 2007 SL No. 210 s 4

**SCHEDULE 3—MATTERS IN RESPECT OF WHICH INFORMATION MAY BE  
REQUIRED OF INVESTIGATED PERSONS (ENTITIES)**

amd 2000 SL No. 13 s 3

**SCHEDULE 4—FEES**

amd 1999 SL No. 275 s 7; 2000 SL No. 136 s 5; 2000 SL No. 137 s 4; 2000  
SL No. 255 s 3; 2001 SL No. 168 s 3  
sub 2001 SL No. 270 s 4  
amd 2002 SL No. 128 s 5; 2002 SL No. 244 s 5; 2003 SL No. 219 s 6; 2004  
SL No. 194 s 4  
sub 2005 SL No. 115 s 5; 2006 SL No. 139 s 5; 2007 SL No. 137 s 4; 2008 SL  
No. 177 s 4